



U.S. Department of Justice


Civil Division

Washington, D.C. 20530

January 21, 2020

MEMORANDUM

To: ALL UNITED STATES ATTORNEYS

From:  James G. Touhey, Jr.
Director, Torts Branch (FTCA Litigation Section)
Civil Division

Subject: Second Update of the 2019 Calendar-Year List of Annuity Brokers

Attached is the Second Update of the 2019 calendar-year list of annuity brokers who meet minimum qualifications for providing annuity brokerage services in connection with structured settlements entered by the United States. Effective this date, the attached List supersedes all prior lists and will remain in effect until such time as it is replaced by the 2020 Calendar-Year List.¹

Section 11015(b) of the 21st Century Department of Justice Appropriations Authorization Act provides that "[i]n any structured settlement that is not negotiated exclusively through the Civil Division of the Department of Justice, the United States Attorney (or his designee) involved in any settlement negotiations shall have the exclusive authority to select an annuity broker from the list of such brokers established by the Attorney General, provided that all documents related to any settlement comply with Department of Justice requirements."

The appearance of an annuity broker's name on the list does not signify that the annuity broker actually meets the minimum qualifications or is otherwise competent to provide structured settlement brokerage services to the United States. Title 28 C.F.R. § 50.24 provides the following disclaimers:

(c) *Disclaimers.* (1) The inclusion of an annuity broker on the list signifies only that the individual declared under penalty of perjury that he or she meets the minimum qualifications required by the Attorney General for providing annuity brokerage services in connection with structured settlements entered into by the

¹ This second updated 2019 Calendar-Year List also appears at www.justice.gov/civil/structured-settlement-brokers in PDF format and is available to the public.

United States. Because the decision to include an individual annuity broker on the list is based solely and exclusively on the Declaration submitted by the annuity broker, the appearance of an annuity broker's name on the list does not signify that the annuity broker actually meets those minimum qualifications or is otherwise competent to provide structured settlement brokerage services to the United States. No preferential consideration will be given to an annuity broker appearing on the list except to the extent that United States Attorneys utilize the list pursuant to section 11015(b) of Public Law 107-273.

(2) By submitting a Declaration to the Department of Justice, the individual annuity broker agrees that the Declaration and the list each may be made public in its entirety, and the annuity broker expressly consents to such release and disclosure of the Declaration and list.

Accordingly, any broker being considered for selection should be required to verify at the time of selection that he or she meets the minimum qualifications set forth in 28 C.F.R. § 50.24(a) and is otherwise competent to provide structured settlement brokerage services to the United States.

If you have any questions, please contact the Torts Branch (FTCA Litigation Section) at 202-616-4400.

Attachment



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**Second Update of the 2019 Calendar-Year List of Annuity Brokers
Established Pursuant to Section 11015 of the 21st Century
Department of Justice Appropriations Authorization Act**

ABDELFATTAH, Ameriah Marie; New Orleans, LA

ADAMS, Thomas M.; St. Louis, MO

ALEXANDER, Jr., Albert May; Memphis, TN

BAIR, John Theodore; Buffalo, NY

BARBARINO, Clement D.; Pittsburgh, PA

BARKETT, Cassie May; Tulsa, OK

BARKHAUSEN, Henry W.; Chicago, IL

BEATTY, James M.; Duluth, GA

BECKERT, Julia Diane; Tulsa, OK

BLANK, Steve; Phoenix, AZ

BLANKENSHIP, Gary Gill; Roanoke, VA

BLANZY, Jennifer; Gross Pointe Park, MI

BLONDER, Leonard A.; Los Angeles, CA

BORNSTEIN, Joseph H.; Highland Village, TX

BOWERS, Brenton J.; St. Louis, MO

BOWERS, Jeffrey S.; St. Louis, MO

BRADY, James J.; Newport Beach, CA

BRANDT, Paul S.; Arlington, VA
BRICE, Kathleen Ann; Walnut Creek, CA
BROOKS, Jeffrey C.; Towaco, NJ
BURCHIK, LeAnne G.; Mt. Gretna, PA
CADDY, Jr., Judson N.; Stevensville, MD
CALDERON-YEZBAK, Irene; Santa Ana, CA
CAPLE, J. Kevin; Charlotte, NC
CAPLES, Robert; Sugar Land, TX
CARROLL, Richard; San Francisco, CA
CARROLL, Ronald Earl; Winter Springs, FL
CARTER, Joshua Bryant; Denver, CO
CARTER, Sheila Mae; Powder Springs, GA
CHANLEY, Chindy L.; Jeffersonville, IN
CHASE, Stephen E.; East Syracuse, NY
CHEW LOW, Jeffrey; San Francisco, CA
CHRISTEN, Gayle Susan; Columbus, OH
CHRISTIE, Keith M.; Metairie, LA
CLARK, Susan R.; Austin, TX
COCCIMIGLIO, Nicholas; Danville, CA
COCHRAN, John E.; Troy, AL
COHEN, Lawrence A.; Boston, MA
COOPER, Roger F.; Darnestown, MD
CURRAN, Scott B.; Boston, MA

CURTIS, Lawrence; Derwood, MD

DARER, John David; Stamford, CT

DeBACHER, Bruce Edward; Homestead, FL

DESTRALO, Joseph M.; Margate City, NJ

DIVICIO, Daniel G.; Orlando, FL

DOLNY, Thomas Joseph; Ft. Worth, TX

EARLY, Peter J.; Windham, NH

EASTON, Jr., William S.; Marietta, GA

ELGIN, Michelle M.; Middleburg, VA

ELGIN, Robert S.; Middleburg, VA

ELY, Brigitte; St. Petersburg, FL

EVANS, Nathan M.; Jacksonville, FL

EVERETTE-COOPER, Alice; Darnestown, MD

FARBER, Patrick; Santa Ana, CA

FAY, Pauline A.; Albuquerque, NM

FAZIO, William B.; Lake St. Louis, MO

FEELEY, Rischelle; Baltimore, MD

FELDHEIM, Mark S.; Washington, DC

FELDHEIM, Michele M.; Washington, DC

FILLION, Brian; Medford, NJ

FINN, Daniel J.; Newport Beach, CA

FISHER, Andrew K.; Atlanta, GA

FORAN, John Alexander; Annapolis, MD

FREEMAN, Scott; Houston, TX

GOLDSTEIN, Richard J.; Manlius, NY

GONZALEZ, Jr., Roland; McAllen, TX

GOODMAN, William Stuart; Pittsburgh, PA

GREGER, Gerald D.; Pompono Beach, FL

HANAIKE, Dona L.; Honolulu, HI

HARDY, Eric Tyler; Atlanta, GA

HARLAN, Chris M.; Wauke, IA

HARLAN, Stan K.; Wauke, IA

HATHAWAY, Christopher James; Warren, MI

HATHAWAY, Michael Greer; Rochester, MI

HAYS, Byron R.; Marietta, GA

HEATH, Linda A.; Piedmont, CA

HICKEY, Joshua Ryan; Chicago, IL

HOMOLASH, Claudine; Philadelphia, PA

HUVER, Daniel C.; Exton, PA

JACOBSON, Charles; East Brunswick, NJ

JACOBSON, Martin; East Brunswick, NJ

JEWETT, Darren S.; Overland Park, KS

KAAS, Traci Lynn; Irvine, CA

KAUFMAN, Harold R.; Las Vegas, NV

KEARNS, Marsi T.; Scottsdale, AZ

KEEFE, Shawn M.; Atlanta, GA

KELLY, Tanis Leuthold; San Francisco, CA

KENNEDY, Angus; San Marcos, CA

KENNEY, Audrey Vaclavik; Boise, ID

KIMES, Walter L.; Scottsdale, AZ

KIPNES, Todd A.; White Plains, NY

KLAPPS, James P.; Washington, DC

KLINGER, Connie; Tampa, FL

KOEHLER, Anna Catherine; St. Charles, IL

KOEHLER, Peter Vincent; St. Charles, IL

KORMANN, Jamie L.; Bonita Springs, FL

KOVACEVICH, Thomas J.; Schaumburg, IL

KRAUSE, Phillip Michael; Fort Lauderdale, FL

LARNED, Christopher E.; East Providence, RI

LAWTER, Anne E.; Troy, MI

LENER, Morris; East Brunswick, NJ

LIVINGSTON, Jeffrey Michael; Charlotte, NC

LOGAN, Christine M.; Novi, MI

LOPER, Brian P.; Birmingham, AL

MAGUIRE, Raymond Gregory; Pittsburgh, PA

MAIDENS, Mark E.; Lithia, FL

MARTIN, Ryan Len; Dallas, TX

MATHEWS III, William S.; St. Petersburg, FL

McANALLY, Annemarie; Exton, PA

McANDREWS, Edward; Swarthmore, PA
McDOWELL, Jr., James C.; Chicago, IL
MERRITT, John Douglas; Walnut Creek, CA
MILLER, Daniel Charles; Greenwood Village, CO
MILNER, Douglas Bryan; Cedar Park, TX
MORROW, Todd S.; Driftwood, TX
MUIR, John M.; Bloomington, IL
MUIR, John W.; Bloomington, IL
MUÑOZ, Francisco; Orlando, FL
MURPHY, Michael; Doylestown, PA
NEWMAN, Brett; Nanuet, NY
NEWTON, Mark A.; Atlanta, GA
OLIPHANT, Ryan Patrick Christen; Covington, KY
OMANSKY, Tanna G.; Baltimore, MD
OOT-QUINN, Kathleen; Syracuse, NY
OSLER, Kathleen S.; Bellevue, WA
OVERBY, Kimberly Ponthieux; Houston, TX
PAGNANO, Joan L.; Hingham, MA
PARKEY, William Nathan; Somerset, KY
PARSONS, Anna Melissa; Mesquite, TX
PATTERSON, Jeffrey Glenn; Houston, TX
PEAK, Carmen Lisa; Floyds Knobs, IN
PETROUSKI, Lynn; Chicago, IL

PHILYAW, Ryan Hayes; Lee's Summit, MO
PITNEY, Stephen M.; Allen, TX
PULLIN, David L.; Mesquite, TX
RILEY-PUGH, Jane; Tustin, CA
ROBINSON, Anthony Allen; Bellevue, WA
ROBINSON, Nolan William; Beaverton, OR
ROSSETTER, Simon; Medford, NY
ROSIE, Janet G.; Charlotte, NC
ROWLAND, James E.; Cincinnati, OH
RUDER, Lawrence Michael; St. Louis, MO
RYAN, Richard B.; Baltimore, MD
SARNI, Terry Wilkes; San Rafael, CA
SCHATER, Brian; Mt. Kisco, NY
SCHLEEDE, Kimberly J.; Washington, DC
SCHRIEFER, Richard; East Williston, NY
SCHROEDER, W. Michael; Duluth, GA
SCHWARTZ, Noah S. A.; Sacramento, CA
SHIPLEY, Lori A.; Peoria, AZ
SHUMATE, W. Christian; The Woodlands, TX
SILO, Kevin J.; White Plains, NY
SMALL, David M.; Roswell, GA
SMITH, Kimberlee; Los Angeles, CA
SPAULDING, Denise M.; Indianapolis, IN

SPINETTI, Gregory; Winter Springs, FL

STANFORD, Jr., Johnston Hollis; Atlanta, GA

STEVENSON, Tommy Peter; Laguna Niguel, CA

STOLL, Ray Ulmer; Tampa, FL

STOVIK, Michael William; Florence, KY

STREET, Hanson; Houston, TX

STRONG, Henry Lockwood; Washington, DC

SWIGART, Jeffrey F.; Exton, PA

SUSS, Donald B.; Exton, PA

TEWES, Cynthia Kathryn; Grosse Pointe Park, MI

TEWES, John Carl; Grosse Pointe Park, MI

TRAPLETTI, Megan; Salt Lake City, UT

TOMBS, Joseph Wingate; Lubbock, TX

UPCHURCH, Michael; Jacksonville, FL

VALDEZ, Manny J.; San Diego, CA

VALDEZ, Manuel R.; San Diego, CA

VALTCHANOVA, Iliana; Beaver Falls, PA

VIERA, Angel N.; Montebello, CA

VIERA, Olivia Bermudez; Montebello, CA

VIVONA, Marianne; Seattle, WA

VOGEL, Mark R.; Grand Rapids, MI

VOGT, Luke; San Francisco, CA

VOLANTI, James J.; Weston, FL

VON BANK, AnnMarie Elizabeth; Lakeville, MN

WALSH, Thomas D.; Bethesda, MD

WALTERS, Christopher; Charleston, WV

WALTERS, Jr., Ronald N.; Charleston, WV

WALTERS, Sr., Ronald N.; Charleston, WV

WAREING, Eileen M.; Scottsdale, AZ

WESSELS, Kenneth Alan; Benton, LA

WHITE, Cyril Stephen; Chelsea, MI

WHITEHEAD, James Franklin; St. Mary's, KS

WHITEHEAD, Mark J.; Canfield, OH

WHITNEY III, Jerome A.; Cohasset, MA

WILLIAMS IV, Norman Orelle Chad; Atlanta, GA

WROTH, Peregrine; St. Louis, MO

YOUNG, Lacie; Tallahassee, FL